# BEST AVAII ABI E COOV

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Robert Lee

Application No.: PCT/US00/14591

International Filing Date: May 26, 2000

Title:

UNIVERSAL TELLER MACHINE IN 3-1/2 INCH FLOPPY DISK CASE

Examiner:

Group Art Unit:

Attorney Docket No.: 2366-003-03

Attention: Office of Petitions Commissioner for Patents P O Box 1450

Alexandria, VA 22313-1450

### CERTIFICATE OF MAILING OR TRANSMISSION

"Express mail" mailing label number: EQ168798904US

Date of Deposit: \.O.... February 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR, Section 1.10 on the date indicated above and is address to the Commissioner for Patents, Attn: Office of Petitions, P O Box 1450, Alexandria, VA 22313-1450

02/17/2006

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### PETITION UNDER 37 CFR 1.137(b) FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY

Dear Sir:

The applicant, UTM Systems Corp. (UTM), filed an application for patent, PCT/US00/14591, under the Patent Cooperation Treaty (PCT). Before the expiration of the 30-month deadline to enter the PCT application into the U.S. National Stage under 35 U.S.C. 371, some of UTM's creditors initiated a bankruptcy proceeding against UTM. Because of the bankruptcy proceeding, the 30-month deadline expired before UTM

completed entry of the PCT application into the U.S. National Stage. Graybeal Jackson Haley LLP has recently acquired all of UTM's rights in the PCT/US00/14591 application from the bankruptcy proceeding, and respectfully petitions for revival of the U.S. National Stage patent application that was unintentionally abandoned.

- 1. Graybeal Jackson Haley LLP respectfully petitions for revival of the National Stage patent application under 37 CFR 1.137(b) and MPEP 1893.02, and respectfully requests that the Director grant the petition because the National Stage application was unintentionally abandoned, and the entire delay in filing this grantable petition under 37 CFR 1.137(b) was unintentional.
- 2. On 26 May 2000, Mr. Haley of Graybeal Jackson Haley LLP filed a patent application with the United States Patent and Trademark Office (USPTO) under the PCT for UTM. The patent application was titled UTM MACHINE IN 3 1/2 INCH FLOPPY DISK CASE, and received an application number, PCT/US00/14591. The patent application claimed priority from U.S. Patent Application Serial No.: 09/322,669 that was filed on 28 May 1999. A copy of the filing receipt issued by the United States Patent and Trademark Office (USPTO) is attached as Exhibit A.
- 3. On 20 December 2000 Mr. Haley timely filed a Demand for Preliminary Examination in the PCT application. A copy of the Demand receipt issued by the USPTO is attached as Exhibit B. Because the PCT application claimed priority from the U.S. application filed on 28 May 1999, and the demand was timely filed, the 30-month deadline to complete the entry of the PCT application into the U.S. National Stage by completing the requirements of 35 U.S.C. 371(c) expired 28 November 2001.
- 4. On 1 April 2001 applicant granted Graybeal Jackson Haley LLP a security interest in the PCT application, and on 1 May 2001 Graybeal Jackson Haley LLP filed a financing statement with the State of Washington to perfect their security interest. A copy of the receipt from the State of Washington indicating the filing of the financing statement is attached as Exhibit C.

- 5. On 27 August 2001, the United States Bankruptcy Court for the Western District of Washington at Seattle granted an involuntary petition to commence bankruptcy proceedings filed by some of UTM's creditors. A copy of the Involuntary Petition showing the relief granted by the Court is attached as Exhibit D. Consequently, as of 27 August 2001, the Court suspended all debt collection efforts against UTM and its property, and took control over UTM's property, which included the PCT application.
- 6. On 28 November 2001 the deadline to complete the requirements of 35 U.S.C. 371(c) to complete entry of the PCT application into the U.S. National Stage expired. Because UTM was concerned about how it would navigate through the bankruptcy proceeding, and pay its creditors while avoiding liquidation, UTM unintentionally lost track of the 28 November 2001 deadline to pay the national fee, to provide an oath or declaration under 35 U.S.C 115 of the inventors, and to file a copy of the PCT application. Moreover, neither the PCT Receiving Office nor the United States Patent and Trademark Office (USPTO) issues a notice of abandonment when an applicant misses the 30-month deadline to complete entry into the U.S. National Stage. Therefore, UTM and Graybeal Jackson Haley LLP never received notice from the PCT Receiving Office or the USPTO indicating that UTM missed the 30-month deadline to complete entry of the PCT application into the U.S. National Stage.
- 7. On 24 March 2005, Graybeal Jackson Haley LLP requested relief from the bankruptcy Court's suspension of all debt collection efforts against UTM and its property to foreclose on the PCT application. A copy of the Motion For Relief from Stay is attached as Exhibit E. On 28 April 2005, the bankruptcy Court granted Graybeal Jackson Haley LLP's request for relief. A copy of the Order is attached as exhibit F. Then, on 30 May 2005 Graybeal Jackson Haley LLP concluded foreclosure proceedings on the PCT application and obtained ownership of the application.
- 8. From 27 August 2001 to 28 April 2005, the PCT application was subject to the bankruptcy Court's control.

- 9. After receiving ownership of the PCT application, Graybeal Jackson Haley LLP searched for and retrieved facts to support this petition to revive, and then prepared this petition. The duration of the eight-month-period between receiving ownership of the PCT application and filing this petition to revive the patent application was unintentional.
- 10. If the Director refuses to revive the National Stage patent application, and If the U.S. patent application, serial number 09/322,669, that the National Stage patent application claims priority to is revivable, then Graybeal Jackson Haley LLP requests that the Director consider this petition a petition to revive the unintentionally abandoned U.S. patent application 09/322,669. Graybeal Jackson Haley LLP also requests an opportunity to provide a response to the outstanding Office Action in U.S. patent application 09/322,669 to complete the petition.

Dated this .7.... day of February 2006.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

John M. Janeway

Registration No. 45,796

155/108th Avenue ME, Ste. 350

Bellevue, WA 98004-5901

(425) 455-5575

### PATENT COOPERATION TREATY

PA	ENI COOLE				
From the RECEIVING OFFICE			PCT		
To:			rcı		
CHRISTOPHER B. VILLANI GRAYBEAL JACKSON HALEY LLP 155 - 108TH AVENUE NORTHEAS SUITE 350	т	APPLICA'	ION OF THE INTERNATIONAL TION NUMBER AND OF THE NATIONAL FILING DATE		
BELLEVUE WA 98004-5901			(PCT Rule 20.5(c))		
_		Date of mailing (day/month/year)	30 JUN 2000		
Applicant's or agent's file reference	IMPO	DRTANT NOTIFICATION			
1624-17-1	International filing dat	e (day/month/year)	Priority date (day/month/year)		
International application No. PCT/US00/14591	26 M/		28 MAY 99		
Applicant UTM SYSTEMS CORP.					
Title of the invention UNIVERSAL CASE	TELLER MACHINE	IN 3-1/2 INC	H FLOPPY DISK		
has not yet been trannotification has been because the	he International Bureau	ional Bureau for the	reason indicated below and a copy of this		
(with Form PC1/1B/301) of its the priority date, the Internation  3. FOREIGN TRANSMITTAL  Additional license granted on the equal License for foreign	LICENSE INFORMA for foreign transmittal uivalent U.S. national ar transmittal not require diditional subject matter il license granted, 35 U.	TION  not required. This sopplication. Refer to the	Completed by:  ubject matter is covered by a license alread at license for information concerning its scope or 37 CFR 5.11(e)(2). However, a license ma		
	consisting Office	Authorized offi	cer stal trumber.		
Name and mailing address of the Assistant Commissioner for Pater Box PCT	receiving Office  nts  Attn: RO	als	cer 2 fal fuunden 703-305-3663		
Washington, D.C. 20231 Facsimile No.	Atm: RO	Telephone No.	703-302-3663		

Form PCT/RO/105 (July 1992)

Exhibit A

### From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY Τα CHRISTOPHER B. VILLANI GRAYBEAL JACKSON HALEY LLP NOTIFICATION OF RECEIPT 155 - 108TH AVENUE NORTHEAST OF DEMAND BY COMPETENT INTERNATIONAL SUITE 350 PRELIMINARY EXAMINING AUTHORITY BELLEVUE WA 98004-5901 (PCT Rule 59.3(e) and 61.1(b), first sentence and Administrative Instructions, Section 601(a)) Date of mailing **29** JAN 2001 (day/month/year) Applicant's or agent's file reference IMPORTANT NOTIFICATION 1624-17-1 International application No. International filing date (day/month/year) Priority date (day/month/year) PCT/US00/14591 26 MAY 00 28 MAY 99 Applicant UTM SYSTEMS CORP. 1. The applicant is hereby notified that this International Preliminary Examining Authority considers the following date as the date of receipt of the demand for international preliminary examination of the international application: 2. That date of receipt is: the actual date of receipt of the demand by this Authority (Rule 61.1(b)). the actual date of receipt of the demand on behalf of this Authority (Rule 59.3(e)). the date on which this Authority has, in response to the invitation to correct defects in the demand (Form PCT/IPEA/404), received the required corrections. 3. ATTENTION: That date of receipt is AFTER the expiration of 19 months from the priority date. Consequently, the election(s) made in the demand does (do) not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)). Therefore, the acts for entry into the national phase must be performed within 20 months from the priority date (or later in some Offices) (Article 22). For details, see the PCT Applicant's Guide, Volume II. (If applicable) This notification confirms the information given by telephone, facsimile transmission or in person on: 4. Only where paragraph 3 applies, a copy of this notification has been sent to the International Bureau Name and mailing address of the IPEA/US Authorized officer Assistant Commissioner for Patents Box PCT

Attn: IPEA/US

Telephone No.

Form PCT/IPEA/402 (July 1998)

Washington, D.C. 20231

Facsimile No.

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FINANCING STATEMENT — FOLLOW INSTRUCTIONS OF This Financing Statement is presented for filling pursuant to the Uniform Commerce of the Commerc	CAREFULLY ial Code			
and will remain effective, with certain exceptions, for 5 years from data of filling.  A NAME & TEL. # OF CONTACT AT FILER (optional)  8. FILING OFFI	CE ACCT. # (optional)		<u> </u>	
Jeffrey T. Haley C. RETURN COPY TO: (Name and Mailing Address)	<del></del>		DEPART	النــ
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Jeffrey T. Haley	1		<b>三</b>	.01 WA -8
Graybeal Jackson Haley LLP 155 - 108th Ave NE Suite 3	50			- <del>3</del> 3;
Bellevue, WA 98004-5901	30		%5. 	
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DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (1s     Tw. ENTITY'S NAME	i or to)	<u></u>		
UTM Systems Corp				
OR ID. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDI.E N	IAME	SUFFIX
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40 Lake Bellevue Drive #350	Bellevue	_WA	US	98005
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OR Zb. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE	EAME:	SUFFIX
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OR 3b. INDIVIDUAL'S LAST NAME	FIRST NAME	WIDDLE	VAME	SUFFIX
Haley 3c. MALING ADDRESS	Jeffrey	STATE		POSTAL CODE
155 - 108th Ave NE #350	Bellevue	WA	บร	98004
4. This FINANCING STATEMENT covers the following types or items of property:				
All rights to inventions, pa	atent application	is and	tradem	lark
applications described in St that are evidence thereof.	atus Reports att	cached	and al	.i papers
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5. CHECK This FINANCING STATEMENT is signed by the Secured Party Instan		-	7. If fied in Fig.	orda (check one) ary Documentary stamp
BOX [a] in collective atready subject to a security interest in another jurisdi (if applicable) distror's location was ghapped to this state, or (b) in accordance with			stamp tax	caid tax not applicable  ENT is to be filed (for record)
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### Status of UTM Systems Corporation Patent Applications April 1, 2001

1624-1-2	U.S. APPL. NO.: 09/322,670	DATE FILED: May 28, 1999
1624-2	U. S. APPL. NO.: 09/322,669	DATE FILED: May 28, 1999
1624-3-3	U. S. APPL. NO.: 09/560,842	DATE FILED: April 28, 2000
1624-3-4	PCT/US00/14449	DATE FILED: May 25, 2000
1624-3-5	Taiwan Appl. No. 89110220	DATE FILED: June 14, 2000.
1624-4-4	U. S. APPL. NO.: 09/580,321	DATE FILED: May 26, 2000
1624-4-PCT	PCT Application to be filed.	
1624-16-1	PCT/US00/14592	DATE FILED: May 26, 2000
1624-16-2	(US) waiting to file application base	ed on pending PCT application.
1624-17-1	PCT/US00/14591	DATE FILED: May 26, 2000
1624-17-2	(US) waiting to file application base	ed on pending PCT application.

# TRADEMARK STATUS REPORT

### UTM Systems Corporation (Client No. 1624)

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Status/Other	ABANDONED	PENDING (	PENDING 7/5/00: response filed	PENDING 6/5/00: published	REGISTERED 11/2/2009: renewal	PENDING 6/5/00: published	ABANDONED	PENDING	ABANDONED
Docker	1624-9-4	1624-7-4	1624-8-4	1624-9-6	1624-7-6	1624-8-6	1624-9-3	1624-7-3	1624-8-3
Action Required			Check status	Check status	Use due	Check status		Check status	
Next Deadline				2000/12/05	2004/11/02	2000/12/05		2001/01/10	
Registration Date	·				1999/11/02				
Registration No.					1367572				
Application Date	1999/12/02	1999/12/02	1999/12/02	:	1999/11/02		1999/11/08	1999/11/08	1999/11/08
Application No.	9900145056		9900145055	1367358	1367572	1367226	99/16148	99/16146	99/16147
Mark	UNIVERSAL TELLER MACHINE	ИТМ	UTM SYSTEMS <sup>i</sup>	UNIVERSAL TELLER MACHINE	UTM	UTM SYSTEMS	UNIVERSAL TELLER MACHINE	UTM	UTM SYSTEMS
Country/ State*	China	Chlna	China	European Union (CTM)	European Union (CTM)	European Union (CTM)	Hong Kong	Hong Kong	Hong Kong

Page 1

### Page 2

# TRADEMARK STATUS REPORT

### UTM Systems Corporation (Client No. 1624)

Country/ State*	Mark	Application No.	Application Date	Registration No.	Registration Date	Nexd Deadline	Action Required	Dockei	Status/Other	
Japan	UNIVERSAL TELLER MACHINE <sup>4</sup>	11-98904	1999/11/01					1624-9-2	ABANDONED	1
Japan	UTM <sup>iii</sup>	11-98902	10/11/6661			2001/05/11	Check status	1624-7-2	PENDING 11/8/00: response filed	- <sup>`</sup> -
Japan	UTM SYSTEMS <sup>IV</sup>	11-98903	10/11/661					1624-8-2	ABANDONED	T -
Mexico	UNIVERSAL TELLER MACHINE'	397299	10/11/6661	656405	1999/11/01	2009/11/01	Renewal	1624-9-5	REGISTERED	<sub>I</sub>
Мехісо	UTM <sup>4</sup>	397298	10/11/6661	656404	10/11/6661	2009/11/01	Renewal	1624-7-5	REGISTERED	Ι
Mexico	UTM SYSTEMS <sup>VL</sup>	397297	10/11/6661	656403	10/11/6661	2009/11/01	Renewal	1624-8-5	REGISTERED	Γ
Taiwan	UNIVERSAL TELLER MACHINE	88054370	10/11/6661					1624-9-7	ABANDONED	
Taiwan	мти	88054368	10/11/664					1624-7-7	ABANDONED	1
Taiwan	UTM SYSTEMS	88054369	10/11/6661					1624-8-7	ABANDONED	
United States	SIMPLY MORE SECURE	76/080,559	2000/06/29			2000/12/29	Priority deadline	1624-25-1	PENDING	

### TRADEMARK STATUS REPORT

### UTM Systems Corporation (Client No. 1624)

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Status/Other	ABANDONED 7/4/00: published	Abandoned/check to see if they want to revive in 6 months	PENDING SOU Filed 4/24/01	ABANDONED 7/4/00: published	Check to see if they want to revive in 6 months
Docket	1624-9-1		1624-7-1	1624-8-1	
Action Required	Check status		Check status	Check status	
Next Deadline	2001/09/26		2001/07/24	2001/09/26	
Registration Date					
Registration No.				•	
Application Date			1999/02/19		
Application No.	75/646,952		75/646,241	75/646,236	
Mark	UNIVERSAL TELLER MACHINE		UTM <sup>vii</sup>	UTM SYSTEMS	
Country/ State *	United States		United States	United States	

Blectronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication devices, "Electrical communication machines and instruments; electronic machines and instruments and parts and accessories for these

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iv Bleetrical communication machines and instruments; electronic machines and instruments and parts and accessories for these

<sup>\*</sup> Electronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication devices " Electronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication

<sup>&</sup>quot; Electronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication

vii Security and authentication devices, namely integrated circuit chips featuring embedded authentication and encryption logic for incorporation in computers, telephones and other communication devices

INVOLUNTAR	
United States Bankruptcy Court for the	Western District of Washington, at Seattle
IN RE(Name of Debtor-If Individual, Last First Middle	ALL OTHER NAMES used by Debtor in the last 6
UTM Systems Corporation,	years (Include married, maiden and trade names
a Washington corporation	
SOC SEC/TAX ID NO. (If more than one, state all)	
EIN 91-1890213	
STREET ADDRESS OF DEBTOR (No. and Street, City, State, and zip code)	MAILING ADDRESS OF DEBTOR
40 Lake Bellevue Drive, Ste. 350	(If different from street address)
Bellevue, WA 98005	
I OCATION OF PROVIDENCE	
LOCATION OF PRINCIPAL ASSETS OF BUSINES	
CHAPTER OF BANKRUPTCY CODE UNDER WH	ICH PETITION IS FILED
(X) Chapter 7 ( ) Chapter 11	
INFORMATION REGARDING D Petitioners believe Type O	DEBTOR (Check applicable boxes)
11180	F DEBTOR ividual ( ) Corporation Publicly Held
	inership (X) Corporation Not Publicly Held
(Complete Section A and B) (L.L	.C.)
( ) Oth	ner
A. TYPE OF BUSINESS (Check one) B. BR	IEFLY DESCRIBE NATURE OF BUSINESS
ProfessionalTransportationCommodity Broker	
Retail/Manufacturing/Construction De Wholesale Mining Real Estate	sign, Development and Manufacture of Software
Wholesale Mining Real Estate Railroad Stockbroker X Other	
VEN	IUE .
(X) Debtor has been demiciled or has had a residence, principal pl immediately preceding the date of this petition or for a longer part of	ace of business, or principal assets in the District for 180 days of such 180 days than in any other District
( ) A bankruptcy case concerning debtor's affiliate, general partner	or or nurthership is pending in this Dissiles
PENDING BANKRUPTCY CASE FILE	D BY OR AGAINST ANY PARTNER
OR AFFILIATE OF THIS DEBTOR (Report in	nformation for any additional cases on attached sheets)
Name of Debtor Case Number N/A	Date
Relationship District	Judge
	Juage
ALLEGATIONS	
(Check applicable boxes)	
1. (X) Petitioners are eligible to file this petition pursuant to	Case #: 01-19563-KAO7 lame : UTN SYSTEMS CORPORATION ludge : KAREN OVERSTREET 341: 8
<ol> <li>(X) The Debtor is a person against whom an order for relified of the United States Code.</li> </ol>	ω (h ( b ( )
3.a. (X) The Debtor is generally not paying such debtor's debt:	Case #: 01-19563-KA07
	Judge : KAREN OVERSTREET
b. ( ) Within 120 days preceding the filing of this petition, a	341: 6 Thapter: 7
trustee, receiver, or agent appointed or authorized to ta	Filed : August 27, 2001 12:37:52
against such property, was appointed or took possession	Deputy : T SCOTT
	Receipt: 44749
1	RELIEF ORDERED Clerk, U.S. Bankruptcy Court
	Western District of Washington

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	Name of Debtor: UTM Systems Corporation
	Name of Debior. Office Systems Corporation
	Case No.
TRANSFE  ( ) Check this box if there has been a transfer of any claim aga documents evidencing the transfer and any statements that	R OF CLAIM inst the debtor by or to any petitioner. Attach all are required under Bankruptcy Rule 1003(a).
REQUEST Petitioner(s) request that an order for relief be entered against the this petition	FOR RELIEF debtor under the chapter of title 11, United States Code, specified in
Petitioners declare under penalty of perjury that the foregoing is true and forrect according to the best of their knowledge, information and belief.  (x	Petitioner's Attorneys: James P. Davis Casey Gordon Davis, P.S.
Randolph V. Cook Name of Petitioner  August 24, 2001 Date Signed	411 - 108th Ave., N.E. Ste 550 Bellevue, WA 98004 425 454-3313
Name & Mailing Address of Individual Signing in Representative Capacity	Signature of Attorney Date
x	Petitioner's Attorneys: James P. Davis Casey Gordon Davis, P.S. 411 - 108 <sup>th</sup> Ave., N.E. Ste 550
Thomas E. Honey August, 2001 Name of Petitioner Date Signed	Bellevue, WA 98004 425 454-3313
Name & Mailing Address of Individual Signing in Representative Capacity	Signature of Attorney Date   Signature of Attorney Date
x	Name of Attorney/Firm (If any)
Jean Ople     August	Address
Name & Mailing Address of Individual Signing in Representative	Telephone No.
Capacity	Signature of Attorney Date
Signature of Petitioner or Representative (State title)	Name of Attorney/Firm (If any)
Philip Brown August , 2001 Name of Petitioner Date Signed	Address
Name & Mailing Address of Individual Signing in Representative	Telephone No.
Capacity	Signature of Attorney Date

	Name of	Debtor: UTM Systems Corporat
	Case	No
	PETITIONING CREDITORS	
Name and Address of Petitioner: Randolph V. Cook 23520 N.E. 21 <sup>st</sup> St. Sammamish, WA 98074	Nature of Claim: unpaid employee compensation and benefits	Amount of Claim: \$298.147.20
Name and Address of Petitioner: Thomas E. Honey 2760 76 <sup>th</sup> Ave. S.E., #403 Mercer Island, WA 98040	Nature of Claim: (1) unpaid employee compensation and benefits (2) promissory note	Amount of Claim: (1) \$128,946.89 (2) \$ 3,181.81
Name and Address of Petitioner: Jean Ople 8206 Caminito Lacayo La Jolla, CA 92037	Nature of Claim: unpaid employee compensation and benefits	Amount of Claim: \$88,571.31
Name and Address of Petitioner: Philip Brown 14321 S.E. 6 <sup>th</sup> St., Apt. M106 Bellevue, WA 98007	Nature of Claim: unpaid employee compensation and benefits	Amount of Claim: \$6,100.00
the statement under penalty of perj	petitioners, attach additional sheets v jury, petitioner(s) signatures under the ey(s) and petitioning creditor infor-	vith Total Amount of Petitioners' Claims: \$524,947.21

P.02

### BEST AVAILABLE COPY

	Name of Debtor: UTM Systems Corporation					
	Case No.					
TRANSFE	ROFCLAIM					
( ) Check this hax if there has been a transfer of any claim against the debtor by or to any petitioner. Attach all documents evidencing the transfer and any statements that are required under Bankruptcy Rule 1003(a).						
	FOR RELIEF debtor under the chapter of title 11, United States Code, specified in					
Petitioners declare under penalty of perjury that the foregoing is true and correct according to the best of their knowledge, information and belief.	Petitioner's Attorneys:					
•						
Signature of Petitioner or Representative (State title)	James P. Davis					
Digitalian of the Motion of Representative (Sink title)	Casey Gordon Davis, P.S.					
D1-1-1-1 0 1	411 - 108th Ave., N.E. Ste 550					
Randolph V. Cook August, 2001	Bellevue, WA 98004					
Name of Petitioner Date Signed	425 454-3313					
A. A. M. A. M	727 737-3313					
Name & Mailing						
Address of Individual	Signature of Attorney Dotc					
Signing in Representative	Signature of Attorney Dose					
Capacity						
$\sim 1$	Petitioner's Attorneys:					
Maries & House	James P. Davis					
Signature of Petitioner of Representative (State title)						
Signature of Politioner of Representative (State fills)	Casey Gordon Davis, P.S.					
L	411 - 108th Ave., N.E. Ste 550					
Thomas E. Honey August 24, 2001	Believue, WA 98004					
Name of Petitioner Date Signed	425 454-3313					
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Address of Individual						
Signing in Representative						
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	Name of Dehtor: UTM Systems Corporation
	Case No.
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Signature of Positioner or Representative (Street Street	Prillose's Allements Junes P. Davis Casery Gordon Davis, P.S.
Randolph V. Cook August 2001 Name of Petklener Date Signed	411 - 100 <sup>th</sup> Ave., N.E. Ste 550 Rellevus, WA 98004 425 454-3213
Name & Mailing Address of Englyidae Signing in Representative Capacity	Signature of Atherery Date
Tuon painte market and the second flower of the contradicular deposits	Puttoue's Attenue's: James P. Davis
Signature of Natislanar or Representative (State (ikle)  Thomas E. Honsy August, 2001	Casey Gordon Davis, P.S. 411 - 108th Ave., N.B. Sta 550 Bellevue, WA 98004
Name of Petitioner Bose Styres Name & Mailing	425 454-3313
Address of Individual Righling in Representative Capacity	Signature of Adjuracy Date
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Signature of Petitioner or Representative (Sinte Lide)	Name of American Flore (If any)
Philip Brown August 2001 Name of Politioner Date Signed	Tulophone No.
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### IAP5 Rec'd PCT/PTO 10 FEB 2006

Hon. Karen A. Overstreet Chapter 7 Hearing: April 22, 2005 9:30 a.m.



### UNITED STATES BANKRUPTCY COURT WESTERN DISTRICT OF WASHINGTON AT SEATTLE

In re

UTM SYSTEMS CORPORATION,

Debtor.

No. 01-19563

MOTION OF GRAYBEAL JACKSON & HALEY LLP FOR RELIEF FROM STAY

COMES NOW Graybeal Jackson & Haley LLP ("GJH"), a secured creditor of the debtor, and moves for relief as follows:

### INTRODUCTION

- 1. GJH's Lien. GJH holds a claim against the estate for legal services provided to UTM Systems Corporation ("UTM"). GJH's claim is secured by a first position security interest in the debtor's patent applications and trademark applications, which security interest was perfected by the filing of a UCC-1 Financing Statement with the Department of Licensing in Olympia, Washington on May 9, 2001.
- 2. Amount of GJH's Claim. GJH filed its proof of secured claim on February 28, 2002, a copy of which is attached hereto as Exhibit A. The amount of its claim as of the date of the order for relief herein on November 27, 2001 was \$85,795.13. Interest on this amount accrues at the legal rate of 12 percent per annum
- 3. <u>Background of Case</u>. This case was commenced by the filing of an involuntary Chapter 7 petition against UTM on August 27, 2001. An Order for Relief and an agreed Order Converting the case to a case under Chapter 11 were entered on November 27, 2001. Subsequently, the case was

MOTION OF GRAYBEAL JACKSON & HALEY LLP FOR RELIEF FROM STAY - 1

LAW OFFICE OF JOHN J. MITCHELL 811 First Ave., Suite 620 Seattle, WA 98104 (206) 903-8555

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converted to a Chapter 7 on October 18, 2002, and Daniel E. Forsch was appointed Trustee.

4. Trustee Has Been Unable To Liquidate GJH's Collateral. In November 2002, the Trustee sold UTM's equipment and furnishings at its business premises. The only remaining assets in the estate are the debtor's intellectual property, which includes the patent and trademark applications that constitute UTM's collateral and certain software and test boards. The Trustee has been unable to date to sell the intellectual property.

### RELIEF REQUESTED

5. By this motion GJH seeks relief from the automatic stay to permit it to realize upon its collateral and to pursue available remedies under the laws of the State of Washington.

### **BASIS FOR RELIEF**

- 6. GJH Is Entitled To Relief From Stay.
- a. GJH Is Entitled To Relief From Stay For Cause. Section 362(d)(1) of the Bankruptcy Code provides that the stay shall be lifted for "cause." "Cause" has no clear definition and is determined on a case-by-case basis. *In re MacDonald*, 755 F.2d 715, 717 (9th Cir. 1985). GJH has been precluded from realizing on its collateral since August 2001. GJH has not received payment for its services that were provided in 2001. The Trustee continues in possession of GJH's collateral and has been unable to find a buyer for the collateral since his appointment two and one-half years ago. Under the circumstances of this case, cause exists for relief from stay.
- b. WSB Is Entitled To Relief From Stay under Section 362(d)(2). Section 362(d)(2) provides a second ground for relief from stay. Section 362(d)(2) provides that the Court shall lift the stay if:
  - (A) the Debtor does not have an equity in such property; and
  - (B) such property is not necessary to an effective reorganization.

The Trustee has been unable to locate an interested buyer for GJH's collateral at any price, which is evidence that the debtor's patent applications, which were abandoned under federal patent law in 2002, and trademark applications have little if any value, certainly nowhere near the amount of GJH's claim. Since this case is now a Chapter 7 case, Section 362(d)(B) has no application. Thus, given the lack of any apparent value whatsoever in the patent and trademark applications, GJH is entitled to relief from

stay under Section 362(d)(2).

H

CONCLUSION

The Trustee has advised the undersigned that he will not resist this request for relief from stay. There is no reason, therefore, to deny GJH an opportunity to realize on its collateral. An order should be entered in the form attached hereto as Exhibit B granting GJH relief from stay.

DATED this 23rd day of March, 2005.

/s/ John J. Mitchell WSBA No. 12757 Attorney for Graybeal Jackson Haley LLP

MOTION OF GRAYBEAL JACKSON & HALEY LLP FOR RELIEF FROM STAY - 3

LAW OFFICE OF JOHN J. MITCHELL 811 First Ave., Suite 620 Seattle, WA 98104 (206) 903-8555

### EXHIBIT A

United States Bankruptcy Court 11 20	Case Number 41-173000  Check box If you are aware that anyone clse has filed a proof of claim relating to your claim. Attach cupy of statement giving particulars.  Check box if you have never received.
United States	- ut
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graybeal Jackson naicy	Check box if you have never received
Notices Should be Self	any notices from the bankruptcy court in this
Name and Address Where Notices Should be Series 350	casa.
155 - 108th Ave. N3 Bellevue, WA 98004-5793	Check box if the address differs from the address on the envelope sent to you by the
	the address on the envelope service court.
Telephone No. 425 455 5575	Check here if this claim
Account or other number by which creditor identifies debtor.	amends replaces a previously filed claim dated:
1624	
1. BASIS FOR CLAIM	Retiree benefits as defined in 11 U.S. C. § 1114 (a)
Goods sold Services performed	Wages, salaries, and compensation (Fill out below)
Money loaned Personal injury/wrongful death	Your social security number Unpaid compensation for services performed
Taxes Other	fromto
2. DATE DEBT WAS INCURRED 4/1/00 - 11/26/01	3. IF COURT JUDGMENT, DATE OBTAINED
4. Total Amount of Claim at Time Case Filed: \$ 85,795.13	
If all or part of your claim is secured or entitled to priority, also complete Item	n 5 or 6 below.
	principal amount of the claim. Attach itemized statement of all interest or additional charges.
5. Secured claim	6. Unsecured Priority Claim
Check this box if your claim is secured by collateral (including a right of	Check this box if you have an unsecured priority claim
setoff).	Amount entitled to priority \$
Brief Description of Collateral:	Specify the priority of the claim:
one beaution of conductor.	Claim arose on or after August 27, 2001 and before November 27, 2001 - 11 U.S.C
Real Estate	\$ 507(a)(2)
Motor Vehicle	Wages, salaries, or commissions (up to \$4650), earned within 90 days before filing of the bankruptcy petition or cessation of the debtor's business, whichever is earlier-!
Mother Patent Applications and	U.S.C. § 507(a)(3)
Trademarks	Contributions to an employee benefit plan-11 U.S.C. §507(a)(4)
Value of collateral: \$ 100,000 ±	Up to \$2,100° of deposits toward purchase, lease, or rental of property or services for
•	personal, family, or household use-11 U.S.C. § 507 (a)(6)
• •	Alimony, maintenance, or support owed to a spouse, former spouse, or child-11
	U.S.C. §507(a)(7)
Amount of arrearage and other chargesat time case filed included in secured claim above, if any \$ 80, 752.49	Taxes or penalties owed to governmental units-11 U.S.C. § 507(a)(8)
Towns visual above, if any 3 00 / 1 32 . 43	Other-Specify applicable paragraph of 11 U.S.C. 8 507(a)
	*Amounts are subject to adjustment on 4/1/04 and every 3 years thereafter with respect
7. CREDITS: The amount of all payments on this claim has been credited and claim.	deducted for the ournose of making this percent of
S. SUPPORTING DOCUMENTS:	Mail claim To:
SUPPORTING DOCUMENTS: <u>Attach copies of supporting documents</u> suci invoices, itemized statements of running accounts, contracts, court judgments, m perfection of lien. DO NOT SEND OF IGNAL DOCUMENTS. Kets.	
	is are not available, explain. If the documents   1200 6th Ave. #315
9. DATE-STAMPED COPY: To receive an acknowledgment of the filing of your	
self-addressed envelope and copy of the proof of claim.	visuiti, circiose a stamped,
Date: Sign and print the name and title, if any, of the creditor or or	her person authorized to file this claim (attach). This Sance is the Country of
2/27/02 copy of power of attorney, if any)	ther person authorized to file this claim (attach This Space Is for Court Use Only
My Hale	JeffreyT. Haley

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425-455-5575		21/	7	- ≥
	SIGNEE NON-UCCFILING	"/ <del> </del>	ير. لا	_
D.OPTIONAL DESIGNATION (# applicable): LESSOR/LESSEE CONSIGNOR/CON  1. DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (1a)	or 1b)			
IL ENTITYS HALLE				
UTM Systems Corp	FIRST NAME	MIDDI, E N	AME	SUFFIX
OR 15. INDIVIDUAL'S LAST NAME			ac himy In	OSTAL CODE
1c. MAILING ADDRESS	CITY	STATE	COUNTRY P	98005
40 Lake Bellevue Drive #350	Bellevue KENTITYSSTATE	19. ENTIT		TONAL I.D.#, if any
INDIVIDENTIAL CORPORATION	OR COUNTRY OF ORGANIZATION WA			NONE
2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one of	ebtor name (2a or 2b)			
24 ENTITY'S NAME		<u> </u>		
OR 25. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDOLE	AME	SUFFIX
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2c. MAILING ADDRESS				
2d. S.S. OR TAX I.D.# OPTIONAL 2e. TYPE OF ENTITY	21.ENTITY'S STATE OR COUNTRY OF	20 ENTIT	Y'S CRGANIZA	TIONAL I,D.#, if any
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3. SECURED PARTY'S (ORIGINAL SIP or ITS TOTAL ASSIGNEE) EXACT  134. ENTITY'S NAME	FOIL CEGAL INVAL - Hadred Will			
Graybeal Jackson Haley LLP	FIRST NAME	IMIDOLE	NAME	SUFFIX
OR 35. INDIVIDUAL'S LAST NAME	Jeffrey	T.		
Haley 3c MAILING ADDRESS	any	STATE WA	COUNTRY	POSTAL CODE 98004
155 - 108th Ave NE #350	Bellevue	""	100	
4. This FINANCING STATEMENT covers the following types of items of property:  All rights to inventions, p applications described in S that are evidence thereof.	atent application tatus Reports att	s and ached	trademand a	mark ll papers
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5. CHECK This FINANCING STATEMENT is signed by the Socured Party inst	and of the Debtor to perfect a security interest	non the	C- Docume	
(if applicable) debtor's location was changed to this state, or (b) in accordance w	th other statutory provisions (additional data man	0 This 1016	NCING STATE	JENT is to be filed for record
6. REQUIRED SIGNATURE BY		(or record	led) in the REAL Mandrill	ESTATE RECURUS  (il impolicable)
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### SECURITY AGREEMENT AND COLLATERAL ASSIGNMENT OF PATENT AND TRADEMARK RIGHTS

This Agreement is entered into as of the 1st day of April . 2001 by UTM systems corp, a Washington Corporation ("Debtor") with its chief executive office located at Bellevue, Washington; and Graybeal Jackson Haley, a Limited Liability Partnership ("Secured Party"), with an office located at Bellevue, Washington.

Between January 1, 2000 and April 1, 2001 Secured Party created intellectual property for Debtor in the form of patent applications and trademark applications for which Secured Party is owed \$56,335.35. All such intellectual property is and has been in the care of Secured Party from the date of creation. To secure amounts owed by Debtor for the creation of said property and future amounts accrued up to December 31, 2001, Debtor agrees that Secured Party has a lien on the intellectual property created by Secured Party for Debtor from the date of creation. Terms of said lien are stated in writing as follows.

### 1. <u>Definitions</u>. As used in this Agreement:

"Collateral" means all rights to inventions, patent applications and trademark applications described in the Status Reports attached to this Agreement and all papers that are evidence thereof.

"Default" means any event referred to in section 5 of this Agreement.

"Obligations" means the obligation of Debtor to pay Secured Party for the creation of the Collateral including payment on a certain promissory note dated April 1, 2001.

- 2. <u>Security Interest</u>. As collateral security for the prompt and unconditional payment and performance of the Obligations, Debtor hereby grants, pledges and assigns to Secured party a security interest in all of Debtor's right, title and interest in and to the Collateral.
- 3. Representations and Warranties. Debtor represents and warrants to Secured Party and agrees with Secured Party as follows:
  - a. Authority. Debtor has the authority to enter into this Agreement.
- b. No Violation. The execution, delivery and performance of this Agreement does not violate the terms of any other agreement, document or instrument to which Debtor is a party.
- 4. <u>Debtor's Covenants</u>. Debtor further covenants and agrees with Secured Party as follows:
- a. <u>Pending Applications</u>. Debtor shall prosecute diligently the applications for patents and trademarks pending as of the date of this Agreement, and unless specifically advised by counsel that to do so is unnecessary or inadvisable, shall do any and all acts which are necessary or desirable to preserve, maintain and enforce all rights in the Collateral.
- b. <u>Limitations on Disposition</u>. Debtor shall not sell, license, transfer or otherwise dispose of or grant any rights to any of the Collateral except in the ordinary course of business. or attempt or contract to do so, without the prior written consent of Secured Party.

- c. <u>Limitation on Liens</u>: <u>Defense of Collateral</u>. Debtor shall not create, permit or suffer to exist, and shall defend the Collateral against and take such other action as is necessary to remove, any lien on the Collateral, except the liens granted to Secured Party under this Agreement. Debtor shall further defend the right, title and interest of the Secured Party in and to Debtor's rights to the Collateral against the claims and demands of all persons other than the Secured Party. In the event that any Collateral is infringed or misappropriated by a third party, Debtor shall notify Secured Party promptly after Debtor learns thereof and shall, unless such Collateral is not material to the conduct of Debtor's business, promptly sue for infringement or misappropriation and to recover any and all damages for such infringement or misappropriation and take such other actions as are appropriate under the circumstances to protect such Collateral.
- d. <u>Performance</u>. Debtor shall pay and perform all the Obligations according to their terms.
- e. Expenditures by Secured Party. Debtor will reimburse Secured Party upon demand for any expenditures by Secured Party for the maintenance, protection and preservation of the Collateral, and for the collection, repossession, holding, preparation and sale or other disposition of or realization upon the Collateral. In no event shall Secured Party have any obligation to make such expenditures nor any liability for failing to make them.
- f. Governmental Charges. Debtor shall pay before delinquency all taxes, assessments and other governmental charges which are or may become a lien on any of the Collateral.
- 5. Defaults. Each of the following shall be a default ("Default") under this Agreement:
- a. Any default or event of default on any of the Obligations, whether or not the Obligations have been accelerated; or
  - b. Breach of any representation or warranty contained in this Agreement; or
- c. Any failure fully and timely to comply with any provision of this Agreement or of any other document, instrument or agreement between Debtor and Secured Party; or
  - d. Any levy, attachment or execution on, or seizure of, any of the Collateral;
- e. Dissolution, death, termination of existence, insolvency or bankruptcy of Debtor or appointment of a receiver to take possession of any of the Collateral.

### 6. Rights and Remedies of Secured Party.

- a. General. In addition to the rights and remedies granted to Secured Party in this Agreement, Secured Party shall at all times have the rights and remedies of a secured party under the Uniform Commercial Code as enacted in the state of Washington and under all other applicable laws.
- b. Remedies. After the occurrence, and during the continuance, of a Default, Secured party may take any one or more of the following actions in its sole discretion:
- (i) Declare all or any part of the Obligations due and payable, without presentment, demand, protest or other notice of any kind, all of which are expressly waived.

- (ii) Require the Debtor to assemble the Collateral, and make it available to Secured party at Debtor's premises or at any other location selected by Secured Party, where it will remain at Debtor's expense pending sale or other disposition. Debtor acknowledges and agrees that any failure by it to assemble the Collateral and make it available to Secured party will constitute a threat of imminent and irreparable harm to Secured party which will entitle Secured party to a court order or injunction: (A) appointing a receiver to take possession of the Collateral and sell or otherwise realize upon the Collateral and apply the proceeds to the Obligations; and/or (B) directing Debtor to assemble the Collateral and make it available to Secured party as required by this Security Agreement. Debtor expressly waives any right to require Secured Party to post a bond or other security or financial undertaking as a condition to obtaining any such order or injunction.
- (iii) Sell, license, or otherwise dispose of the Collateral. If notice of sale or disposition of Collateral is required, ten (10) calendar days notice of any intended sale or other disposition of the Collateral shall be deemed to be reasonable. Each purchaser at any such sale shall hold the property sold absolutely free from any claim or right on the part of Debtor, and Debtor hereby waives (to the extent permitted by applicable law) all rights of redemption, stay and/or appraisal which it now has or may at any time in the future have under any rule of law or statute now existing or hereafter enacted. Secured Party shall not be obligated to make any sale of Collateral regardless of notice of sale having been given. Secured Party may adjourn any public or private sale from time to time by announcement at the time and place fixed therefor, and such sale may, without further notice, be made at the time and place to which it was so adjourned.
- (iv) Indorse any assignment or other instrument or document with respect to the Collateral, as the attorney-in-fact for Debtor with full power of substitution.
- (v) Accept and receive payment of, receipt for or defend, settle, compromise or adjust any claim, suit, action or proceeding with respect to the Collateral. In doing so, any determination made by Secured Party as to the risks of litigation and collectibility shall be deemed to be commercially reasonable unless made in bad faith.
- c. <u>Proceeds</u>. The proceeds of sales, licenses, collections or other dispositions of the Collateral shall not be credited to the Obligations unless and until actually received in cash by Secured Party. Secured Party may credit such proceeds against the Obligations in such order as it elects in its sole discretion.
- d. <u>Deficiency</u>. Debtor shall pay any deficiency remaining after application of the net proceeds of the Collateral to the Obligations.
- e. <u>Retention</u>. Under no circumstances shall Secured Party be deemed to have elected to retain possession of all or any part of the Collateral in satisfaction of the Obligations unless Secured Party has given Debtor written notice of a proposal to do so pursuant to Revised Code of Washington 62A.9-505(2), regardless of the length of time the Collateral remains in Secured Party's possession after a Default. Under no circumstances shall Secured Party have any liability as a result of a decline in the market value of the Collateral while Secured Party holds it.
- 7. <u>Power of Attorney</u>. Debtor hereby appoints Secured Party, or any person or entity whom Secured Party may from time to time designate, as Debtor's attorney-in-fact with power, at any time after the occurrence of a Default: (a) to endorse Debtor's name on all applications,

documents, papers and instruments necessary or appropriate for Secured Party to use, protect, register, patent, sell, license, assign, convey or otherwise transfer or dispose of any of the Collateral; (b) to notify the patent office authorities to change the address for delivery of Debtor's mail to an address designated by Secured Party; (c) to receive and to open and sort mail addressed to Debtor relating to the Collateral; (d) to do all other things which Secured party is permitted to do under this Agreement or which are necessary or appropriate to carry out this Agreement or other agreements between Debtor and Secured Party. Neither Secured Party nor any of its directors, officers, employees or agents will be liable for any acts of commission or omission or for any error in judgment or mistake of fact or law, unless the same shall have resulted from recklessness or willful misconduct. This power, being coupled with an interest, is irrevocable so long as this Agreement remains in effect. Debtor shall, from time to time, execute and deliver to Secured Party such additional documents as Secured Party may reasonably request to confirm the existence of the power of attorney granted herein and to provide additional originals thereof.

8. Revival of Security Interest. To the extent Debtor makes a payment to Secured Party or Secured Party receives any payment of proceeds of Collateral, which is later invalidated, declared to be a fraudulent transfer or preference, set aside or required to be repaid under any bankruptcy law, other law or equitable principle, Secured Party's interest in the Collateral shall be revived and continue as if the payment or proceeds had never been received by Secured Party.

### 9. Miscellaneous.

- a. <u>Financing Statements</u>, <u>Etc.</u> Debtor will sign any financing statements, amendments, assignments, registrations or filings with governmental offices or agencies, and other documents necessary or appropriate to fully perfect Secured Party's security interests in the Collateral throughout the world. Debtor shall pay the cost of so perfecting such security interests. Secured Party is nevertheless authorized to file such documents without the Debtor's signature and Debtor hereby grants to Secured Party a power of attorney to execute any such documents as Debtor's attorney-in-fact. Such power of attorney is coupled with an interest and shall be irrevocable so long as this Agreement remains in effect.
- b. Amendment. This Agreement and the other written documents, instruments and agreements entered into in connection with the loan and the Obligations contain the complete and final expression of the entire agreement of the parties. No provision of this Agreement may be amended, modified, waived or supplemented, except by a writing signed by the party sought to be charged with the amendment, modification, waiver or supplementation. No waiver by Secured party of any Default shall be a waiver of any other Default.
- c. <u>Remedies Cumulative</u>. All rights and remedies of Secured Party shall be cumulative and may be exercised at such times and in such order as Secured Party determines, and no delay or omission in exercising any right or remedy shall be a waiver of it.
- d. <u>Effectiveness</u>. This Agreement shall remain in full force and effect until (i) all of the Obligations shall have been indefeasibly paid in full in cash, and (ii) this Agreement shall have been terminated in writing by Secured Party.
- e. <u>Limitation of Consequential Damages</u>. Secured party shall not be responsible for any lost profits of Debtor arising from any breach of contract, tort (excluding the Secured Party's recklessness or willful misconduct), or any other wrong arising from the establishment, administration or collection of the Obligations or the security interests granted in this Agreement.

f. <u>Legal Expenses</u>. Debtor shall pay any and all fees, costs and expenses (including but not limited to fees of attorneys, accountants, experts, court reporters and others) incurred by Secured Party in the collection or enforcement of any of the Obligations (whether from or against the Debtor or any other person or entity liable therefor) and the perfection, preservation, protection and enforcement of its rights and remedies under this Agreement and its security interest in the Collateral, whether incurred before or after judgment, with or without suit, on appeal, in bankruptcy or other insolvency proceedings, or otherwise. Debtor shall pay all such fees, costs and expenses incurred by Secured Party in any bankruptcy case regardless of whether they are incurred in connection with issues of state law, bankruptcy law or otherwise. All amounts payable to Secured Party under this paragraph shall be payable upon demand and shall bear interest at 12% per annum.

- g. Notices. Any notice under this Agreement shall be in writing.
- h. Governing Law. This Security Agreement shall be governed by, and construed in accordance with the laws of the state of Washington without giving effect to their principles or provisions regarding conflicts of laws or choice of law.
- i. No Obligation. This Security Agreement does not create a binding obligation by Secured Party to extend credit to Debtor at any time.
- j. Counterparts. This Agreement may be executed in any number of counterparts and by each party on a separate counterpart, each of which when so executed and delivered shall be deemed an original and all of which taken together shall constitute but one and the same instrument.
- h. Advice of Counsel. Debtor has sought and received advice of independent counsel before entering this Agreement.

IN WITNESS WHEREOF the parties have duly executed and delivered this Agreement as of the date first written above.

DEBTOR: UTM systems corp

SECURED PARTY: Graybeal Jackson Haiey LLP

By

Robert Lee Dresident

Rv.

Witnessed by

STEPKEN H. OLSON

### Status of UTM Systems Corporation Patent Applications April 1, 2001

1624-1-2	U.S. APPL. NO.:	09/322,670	DATE FILED:	May 28, 1999	
1624-2	U. S. APPL. NO.:	09/322,669	DATE FILED:	May 28, 1999	
1624-3-3	U. S. APPL. NO.: 09	9/560,842	DATE FILED:	April 28, 2000	
1624-3-4	PCT/US00/14449		DATE FILED:	May 25, 2000	
1624-3-5	Taiwan Appl. No. 8	9110220	DATE FILED:	June 14, 2000.	
1624-4-4	U. S. APPL. NO.:	09/580,321	DATE F	TLED: May 26, 200	0
1624-4-PCT	PCT Application to b	e filed.			
1624-16-1	PCT/US00/14592		DATE F	TILED: May 26, 200	0
1624-16-2	(US) waiting to file	application bas	ed on pending PC	CT application.	
1624-17-1	PCT/US00/14591	,	DATE I	FILED: May 26, 200	)()
1624-17-2	(US) waiting to file	application bas	sed on pending PC	CT application.	

### Page 1

# TRADEMARK STATUS REPORT

### UTM Systems Corporation (Client No. 1624)

<del></del>	-					<del></del>	-		
Status/Other	ABANDONED	PENDING	PENDING 7/5/00: response filed	PENDING 6/5/00: published	REGISTERED 11/2/2009: renewal	PENDING 6/5/00: published	ABANDONED	PENDING	ABANDONED
Docket	1624-9-4	1624-7-4	1624-8-4	1624-9-6	1624-7-6	1624-8-6	1624-9-3	1624-7-3	1624-8-3
Action Required			Check status	Check status	Use due	Check status		Check status	
Next Deadline				2000/12/02	2004/11/02	2000/12/05		2001/01/10	
Registration Date					1999/11/02				
Registration No.					1367572				
Application Date	1999/12/02	1999/12/02	1999/12/02		1999/11/02		80/11/6661	80/11/6661	1999/11/08
Application No.	9900145056		9900145055	1367358	1367572	1367226	99/16148	99/16146	99/16147
Mark	UNIVERSAL TELLER MACHINE	ОТМ	UTM SYSTEMS <sup>4</sup>	UNIVERSAL TELLER MACHINE	MTU	UTM SYSTEMS	UNIVERSAL TELLER MACHINE	ОТМ	UTM SYSTEMS
Country/ State*	China	China	China	European Union (CTM)	European Union (CTM)	European Union (CTM)	Hong Kong	Hong Kong	Hong Kong

### Page 2

# TRADEMARK STATUS REPORT

### UTM Systems Corporation (Client No. 1624)

Country/ State*	Mark	Application No.	Application Date	Registration No.	Registration Date	Next Deadline	Action Required	Dockei	Status/Other
Japan	UNIVERSAL TELLER MACHINE <sup>U</sup>	11-98904	10/11/6661				-	1624-9-2	ABANDONED
Japan	UTM <sup>III</sup>	11-98902	196661			2001/05/11	Check status	1624-7-2	PENDING 11/8/00: response filed
Japan	UTM SYSTEMS'	11-98903	10/11/6661					1624-8-2	ABANDONED
Mexico	UNIVERSAL TELLER MACHINE'	397299	1999/11/01	656405	1999/11/01	2009/11/01	Renewal	1624-9-5	REGISTERED
Mexico	UTM	397298	10/11/6661	656404	10/11/6661	2009/11/01	Renewal	1624-7-5	REGISTERED
Mexico	UTM SYSTEMS**	397297	10/11/6661	656403	10/11/6661	2009/11/01	Renewal	1624-8-5	REGISTERED
Taiwan	UNIVERSAL TELLER MACHINE	88054370	10/11/6661					1624-9-7	ABANDONED
Taiwan	UTM	88054368	19/11/6661					1624-7-7	ABANDONED
Taiwan	UTM SYSTEMS	88054369	10/11/6661					1624-8-7	ABANDONED
United States	SIMPLY MORE SECURE	76/080,559	2000/06/29			2000/12/29	Priority deadline	1624-25-1	PENDING

## TRADEMARK STATUS REPORT

### UTM Systems Corporation (Client No. 1624)

T					
Status/Other	ABANDONED 7/4/00: published	Abandoned/check to see if they want to revive in 6 months	PENDING SOU Filed 4/24/01	ABANDONED 7/4/00: published	Check to see if they want to revive in 6 months
Docket	1624-9-1		1624-7-1	1624-8-1	
Action Required	Check status		Check status	Check status	
Next Deadline	2001/09/26		2001/07/24	2001/09/26	
Registration Date					
Registration No.					
Application Date			1999/02/19		
Application No.	75/646,952		75/646,241	75/646,236	
Mark	UNIVERSAL TELLER MACHINE		UTM*iii	UTM SYSTEMS	
Country/ State*	United States		United	United States	

Blectronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication devices, ii Electrical communication machines and instruments; electronic machines and instruments and parts and accessories for these

iv Electrical communication machines and instruments; electronic machines and instruments and parts and accessories for these iii Electrical communication machines and instruments

\* Electronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication devices

" Electronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication

vil Electronic devices with embedded authentication and encryption unicroprocessors for connection to or embedding within computers, telephones and other communication

vii Security and authentication devices, namely integrated circuit chips featuring en bedded authentication and encryption logic for incorporation in computers, telephones and devices

other communication devices

### EXHIBIT B

Hon. Karen A. Overstreet Chapter 7 Hearing: April 22, 2005 9:30 a.m.

### UNITED STATES BANKRUPTCY COURT WESTERN DISTRICT OF WASHINGTON AT SEATTLE

In re	No. 01-19563
UTM SYSTEMS CORPORATION,  Debtor.	ORDER GRANTING GRAYBEAL JACKSON & HALEY LLP RELIEF FROM STAY (PROPOSED)

THIS MATTER having come before the Court on the motion of Graybeal Jackson & Haley LLP and the Court finding that notice and opportunity for a hearing were adequate under the circumstance, that no objection to the relief requested was filed by the response date, and good cause otherwise being shown, now, therefore, it is hereby

### ORDERED as follows:

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- 1. That the motion of Graybeal Jackson & Haley LLP for relief from stay be, and the same is hereby, granted.
- 2. That the automatic stay of 11 U.S.C. § 362(a) be, and the same is hereby, lifted to permit Graybeal Jackson & Haley LLP to pursue available remedies under nonbankruptcy law to realize upon the intellectual property listed on Exhibit A attached hereto.
- 3. That Graybeal Jackson & Haley LLP be, and the same is hereby, authorized to take any other action permitted under its security agreement which is not prohibited under nonbankruptcy law.

ORDER GRANTING GRAYBEAL JACKSON & HALEY LLP RELIEF FROM STAY - 1

LAW OFFICE OF JOHN J. MITCHELL 811 First Ave., Suite 620 Seattle, WA 98104 (206) 903-8555

1	4. That the relief from stay granted herein be, and it is hereby, effective immediately upon
2	the entry of this order, notwithstanding the provisions of Fed. R. Bankr. P. 4001(a)(3).
3	DATED the day of April, 2005.
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6	KAREN A. OVERSTREET United States Bankruptcy Judge
7	United States Bankruptcy Judge
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9	Presented by:
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11	John J. Mitchell
12	WSBA No. 12757 Attorney for Graybeal Jackson Haley LLP
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ORDER GRANTING GRAYBEAL JACKSON & HALEY LLP RELIEF FROM STAY - 2

LAW OFFICE OF JOHN J. MITCHELL

811 First Ave., Suite 620 Seattle, WA 98104 (206) 903-8555

### **EXHIBIT A**

### Status of UTM Systems Corporation Patent Applications April 1, 2001

1624-1-2	U.S. APPL. NO.:	09/322,670	DATE FILED:	May 28	3, 1999
1624-2	U. S. APPL. NO.:	09/322,669	DATE FILED:	May 28	, 1999
1624-3-3	U. S. APPL. NO.: 09/5	560,842	DATE FILED:	April 28	3, 2000
1624-3-4	PCT/US00/14449		DATE FILED:	May 25	, 2000
1624-3-5	Taiwan Appl. No. 891	110220	DATE FILED:	June 14	, 2000.
1624-4-4	U. S. APPL. NO.:	09/580,321	DATE F	ILED:	May 26, 2000
1624-4-PCT	PCT Application to be	filed.			
1624-16-1	PCT/US00/14592		DATE F	ILED:	May 26, 2000
1624-16-2	(US) waiting to file ap	plication base	d on pending PC	T applic	ation.
1624-17-1	PCT/US00/14591		DATE F	ILED:	May 26, 2000
1624-17-2	(US) waiting to file ap	plication base	d on pending PC	T applic	cation.

### Page 1

# TRADEMARK STATUS REPORT

### UTM Systems Corporation (Client No. 1624)

	<del></del> -		·		<del></del>		<del></del>	I	<del></del>
Status/Other	ABANDONED	PENDING (	PENDING 7/5/00: response filed	PENDING 6/5/00: published	REGISTERED 11/2/2009: renewal	PENDING 6/5/00: published	ABANDONED	PENDING	ABANDONED
Docket	1624-9-4	1624-7-4	1624-8-4	1624-9-6	1624-7-6	1624-8-6	1624-9-3	1624-7-3	1624-8-3
Action Required			Check status	Check status	Use due	Check status		Check status	
Next Deadline				2000/12/05	2004/11/02	2000/12/05		2001/01/10	
Registration Date					1999/11/02				
Registration No.			<b>.</b> .		1367572				
Application Date	1999/12/02	1999/12/02	1999/12/02		1999/11/02		80/11/6661	80/11/661	80/11/6661
Application No.	9900145056		9900145055	1367358	1367572	1367226	99/16148	99/16146	99/16147
Mark	UNIVERSAL TELLER MACHINE	UTM	UTM SYSTEMS'	UNIVERSAL TELLER MACHINE	MTW	UTM SYSTEMS	UNIVERSAL TELLER MACHINE	UTM	UTM SYSTEMS
Country/ State*	China	China	China	European Union (CTM)	European Union (CTM)	European Union (CTM)	Hong Kong	Hong Kong	Hong Kong

### Page 2

# TRADEMARK STATUS REPORT

### UTM Systems Corporation (Client No. 1624)

Country/ State*	Mark	Application No.	Application Date	Registration No.	Registration Date	Next Deadline	Action Required	Dockei	Status/Other
Japan	UNIVERSAL TELLER MACHINE <sup>8</sup>	11-98904	10/11/6661					1624-9-2	ABANDONED
Japan	ОТМ <sup>иі</sup>	11-98902	1999/11/01			2001/05/11	Check status	1624-7-2	PENDING 11/8/00: response filed
Japan	UTM SYSTEMS <sup>I</sup>	11-98903	10/11/6661			,		1624-8-2	ABANDONED
Mexico	UNIVERSAL TELLER MACHINE	397299	10/11/6661	656405	1999/11/01	2009/11/01	Renewal	1624-9-5	REGISTERED
Mexico	UTM"	397298	10/11/6661	656404	10/11/6661	2009/11/01	Renewal	1624-7-5	REGISTERED
Mexico	UTM SYSTEMS <sup>vii</sup>	397297	10/11/661	656403	10/11/6661	10/11/602	Renewal	1624-8-5	REGISTERED
Taiwan	UNIVERSAL TELLER MACHINE	88054370	10/11/6661				-	1624-9-7	ABANDONED
Taiwan	МТИ	88054368	10/11/6661					1624-7-7	ABANDONED
Taiwan	UTM SYSTEMS	88054369	10/11/6661					1624-8-7	ABANDONED
United States	SIMPLY MORE SECURE	76/080,559	5000/0002			2000/12/29	Priority deadline	1624-25-1	PENDING

# TRADEMARK STATUS REPORT

### UTM Systems Corporation (Client No. 1624)

		_ '			
Status/Other	ABANDONED 7/4/00: published	Abandoned/check to see if they want to revive in 6 months	PENDING SOU Filed 4/24/01	ABANDONED 7/4/00: published	Check to see if they want to revive in 6 months
Docket	1624-9-1		1624-7-1	1624-8-1	
Action Required	Check status		Check status	Check status	
Next Deadline	2001/09/26		200.1/07/24	2001/09/26	
Registration Date					
Registration No.					
Application Date			1999/02/19		
Application No.	75/646,952		75/646,241	75/646,236	
Mark	UNIVERSAL TELLER MACHINE		UTM <sup>viii</sup>	UTM SYSTEMS	
Country/ State*	United States		United States	United States	

Electronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication devices, <sup>11</sup> Electrical communication machines and instruments; electronic machines and instruments and parts and accessories for these

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ii Electrical communication machines and instruments

<sup>&</sup>quot; Electrical communication machines and instruments; electronic machines and instruments and parts and accessories for these

<sup>\*</sup> Electronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication devices " Electronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication

<sup>&</sup>quot; Electronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication

vii Security and authentication devices, namely integrated circuit chips featuring embedded authentication and encryption logic for incorporation in computers, telephones and other communication devices

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### IAP5 Rec'd PCT/PTO 10 FEB 2006

Hon. Karen A. Overstreet Chapter 7 Hearing: April 22, 2005 9:30 a.m.

Exhibit E

UNITED STATES BANKRUPTCY COURT WESTERN DISTRICT OF WASHINGTON AT SEATTLE.

UTM SYSTEMS CORPORATION,

Debtor.

No. 01-19563

ORDER GRANTING GRAYBEAL JACKSON & HALEY LLP RELIEF FROM STAY

THIS MATTER having come before the Court on the motion of Graybeal Jackson & Haley LLP and the Court finding that notice and opportunity for a hearing were adequate under the circumstances, that no objection to the relief requested was filed by the response date, and good cause otherwise being shown, now, therefore, it is hereby

### ORDERED as follows:

- That the motion of Graybeal Jackson & Haley LLP for relief from stay be, and the same is hereby, granted.
- 2. That the automatic stay of 11 U.S.C. § 362(a) be, and the same is hereby, lifted to permit Graybeal Jackson & Haley LLP to pursue available remedies under nonbankruptcy law to realize upon the intellectual property listed on Exhibit A attached hereto.
- 3. That Graybeal Jackson & Haley LLP be, and the same is hereby, authorized to take any other action permitted under its security agreement which is not prohibited under nonbankruptcy law.

ORDER GRANTING GRAYBEAL JACKSON & HALEY LLP RELIEF FROM STAY - I

LAW OFFICE OF JOHN J. MITCHELL 811 First Ave., Suite 620 Seattle, WA 98104 (206) 903-8555

1	4. That the relief from stay granted herein be, and it is hereby, effective immediately upon
2	the entry of this order, notwithstanding the provisions of Fed. R. Bankr. P. 4001(a)(3).
3	DATED the day of April, 2005.
4	
5	Kaun a. Poustet
6	KAREN A. OVERSTREET United States Bankruptcy Judge
7	Offiled States Bankrupicy Judge
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9	Presented by:
10	/c/ John J. Mitchell
11	/s/ John J. Mitchell WSBA No. 12757 Attorney for Graybeal Jackson Haley LLP
12	Attorney for Graybear Jackson Haley LLF
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### **EXHIBIT A**

### Status of UTM Systems Corporation Patent Applications April 1, 2001

1624-1-2	U.S. APPL. NO.: 09/322,670	DATE FILED: May 28, 1999
1624-2	U. S. APPL. NO.: 09/322,669	DATE FILED: May 28, 1999
1624-3-3	U. S. APPL. NO.: 09/560,842	DATE FILED: April 28, 2000
1624-3-4	PCT/US00/14449	DATE FILED: May 25, 2000
1624-3-5	Taiwan Appl. No. 89110220	DATE FILED: June 14, 2000.
1624-4-4	U. S. APPL. NO.: 09/580,321	DATE FILED: May 26, 2000
1624-4-РСТ	PCT Application to be filed.	
1624-16-1	PCT/US00/14592	DATE FILED: May 26, 2000
1624-16-2	(US) waiting to file application base	d on pending PCT application.
1624-17-1	PCT/US00/14591	DATE FILED: May 26, 2000
1624-17-2	(US) waiting to file application base	d on pending PCT application.

# TRADEMARK STATUS REPORT UTM Systems Corporation (Client No. 1624)

Mark		Application No.	Application Date	Registration No.	Registration Date	Next Deadline	Action Required	Docket	Status/Other	
UNIVERSAL TELLER MACHINE		9900145056	1999/12/02					1624-9-4	ABANDONED	
тим .	<del> </del>		1999/12/02					1624-7-4	PENDING (	
UTM SYSTEMS <sup>1</sup>	<del> </del>	9900145055	1999/12/02	٠			Check status	1624-8-4	PENDING 7/5/00: response filed	<del>'</del>
UNIVERSAL TELLER MACHINE	ļ	1367358				2000/12/05	Check status	1624-9-6	PENDING 6/5/00: published	<del>-                                    </del>
мпл		1367572	1999/11/02	1367572	1999/11/02	2004/11/02	Use due	1624-7-6	REGISTERED 11/2/2009: renewal	
UTM SYSTEMS		1367226				2000/12/08	Check status	1624-8-6	PENDING 6/5/00: published	
UNIVERSAL TELLER MACHINE	1	99/16148	80/11/6661					1624-9-3	ABANDONED	
ИТМ	L	99/16146	1999/11/08			2001/01/10	Check status	1624-7-3	PENDING	
UTM SYSTEMS	L	99/16147	80/11/6661					1624-8-3	ABANDONED	
	ı	1				_				

### Page 2

# TRADEMARK STATUS REPORT

### UTM Systems Corporation (Client No. 1624)

Country/ State*	Mark	Application No.	Application Date	Registration No.	Registration Date	Next Deadline	Action Required	Docket	Status/Other
Japan	UNIVERSAL TELLER MACHINE <sup>4</sup>	11-98904	10/11/6661					1624-9-2	ABANDONED
Japan	UTM <sup>III</sup>	11-98902	10/11/6661	·		2001/05/11	Check status	1624-7-2	PENDING 11/8/00: response filed
Japan	UTM SYSTEMS <sup>iv</sup>	11-98903	10/11/6661					1624-8-2	ABANDONED
Mexico	UNIVERSAL TELLER MACHINE	397299	10/11/6661	656405	10/11/6661	2009/11/01	Renewal	1624-9-5	REGISTERED
Mexico	UTM"	397298	10/11/6661	656404	10/11/6661	2009/11/01	Renewal	1624-7-5	REGISTERED
Mexico	UTM SYSTEMS"	397297	1999/11/01	656403	10/11/6661	2009/11/01	Renewal	1624-8-5	REGISTERED
Taiwan	UNIVERSAL TELLER MACHINE	88054370	10/11/6661					1624-9-7	ABANDONED
Taiwan	ити	88054368	1999/11/01					1624-7-7	ABANDONED
Taiwan	UTM SYSTEMS	88054369	1999/11/01					1624-8-7	ABANDONED
United States	SIMPLY MORE SECURE	76/080,559	2000/06/29			2000/12/29	Priority deadline	1624-25-1	PENDING

## TRADEMARK STATUS REPORT

### UTM Systems Corporation (Client No. 1624)

			γ		
Status/Other	ABANDONED 7/4/00: published	Abandoned/check to conserve if they want to revive in 6 months	PENDING SOU Filed 4/24/01	ABANDONED 7/4/00: published	Check to see if they want to revive in 6 months
Docket	1624-9-1		1624-7-1	1624-8-1	
Action Required	Check status	·	Check status	Check status	
Next Deadline	2001/09/26		2001/07/24	2001/09/26	
Registration Date				,	· · · · · · · · · · · · · · · · · · ·
Registration No.					
Application Date			1999/02/19		•
Application No.	75/646,952		75/646,241	75/646,236	
Mark	UNIVERSAL TELLER MACHINE		UTM <sup>viii</sup>	UTĶ SYSTEMS	
Country/ State*	United States		United States	United States	

Electranic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication devices ii Electrical communication machines and instruments; electronic machines and instruments and parts and accessories for these

iii Electrical communication machines and instruments

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\* Electronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication devices

<sup>&</sup>quot; Electronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication

devices vii Electronic devices with embedded authentication and encryption microprocessors for connection to or embedding within computers, telephones and other communication

devices vii Security and authentication devices, namely integrated circuit chips featuring embedded authentication and encryption logic for incorporation in computers, telephones and

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